

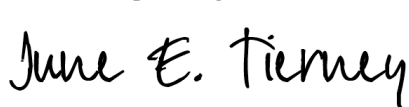


State of Vermont
Department of Public Service
Initial Language Access Plan

October 11, 2023

Revision Number: Original
Author(s): T.J. Poor, Director of Planning
Document Type: Plan
Document Status: Final

Approval:

DocuSigned by:

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10/11/2023

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Commissioner
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Initial Language Access Plan

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A. Introduction

The Vermont Department of Public Service (the “Department”) Initial Language Access Plan (“LAP”) contains the policy and steps describing how the Department will provide information and services to people who speak languages other than English and have “limited English proficiency” or people who use various modes of communication, such as people with hearing, vision, or speech loss. The Department is committed to providing everyone in Vermont effective communication, equal opportunity, and meaningful access to its programs, activities, and services.

The LAP contains five steps to aid in identifying and supporting people who speak languages other than English and have limited English proficiency and people using various modes of communication to ensure that they are provided with effective communication, equal opportunity, and meaningful access to Department programs, services, and activities. These steps are: (1) identify persons for language access, (2) provide language and communication access, (3) train staff and parties that receive grants or contracts, (4) provide public notice of language and communication access, and (5) monitor, evaluate, and update the Language Access Plan. The Vermont Office of Racial Equity (“ORE”) is preparing guidance for developing and/or updating Language Access Plans, and the Department expects to update this plan as appropriate after reviewing ORE’s plan, including the potential for a step-by-step procedure to support the Department and parties that receive grants or contracts to implement the LAP.

Individuals that do not use English as their primary language and have a limited ability to speak, understand, read, or write in English are identified by the U.S. Census as having “limited English proficiency” or LEP. According to the 2016-2019 U.S. Census Bureau American Community Survey, Vermont has over 8,300 people ages 5 years old or older that speak English less than very well.¹ The Procedure includes a list of the more common non-English languages spoken in Vermont.

People who are Deaf, Hard of Hearing, Deaf-Blind, and people who experience hearing, vision, or speech loss use various modes of communication. For example, people who are blind or have low vision may give and receive information audibly rather than in writing, and people who are Deaf, Hard of Hearing, or Deaf-Blind may give and receive information through writing or sign language rather than through speech. There are approximately 70,000 people² in Vermont with hearing loss and between 13,000-16,000 people who are blind or have low vision.³ The most common non-spoken languages in Vermont are American Sign Language and Nepali Sign Language.

This LAP was developed in compliance with state and federal law and consistent with federal guidance. A detailed background of the legal requirements that apply to the Department is in Appendix C and includes:

- Title VI of the Civil Rights Act of 1964 (Title VI), implementing regulations, and guidance which prohibit discrimination—disparate treatment and disparate impact—on the basis of race, color, or national origin in any programs or activities receiving federal financial assistance.⁴
- The Civil Rights Restoration Act of 1987, which clarifies that recipients of federal funds and assistance, such as the Department, must comply with the civil rights laws across the institution or agency. Civil rights compliance applies to all programs and activities of that institution or agency, regardless of whether the program receives federal funds.⁵

- Section 504 of the Rehabilitation Act⁶ and the Americans with Disabilities Act (“ADA”), implementing regulations, and guidance which protects qualified persons with disabilities from discrimination related to the programs, services, and activities and requires recipients of federal funds to ensure effective communication for persons with disabilities.⁷
- Executive Order 13166, *Improving Access to Services for Persons with Limited English Proficiency*, which affirms Title VI’s language access requirement and outlines additional requirements.⁸
- The U.S. Environmental Protection Agency (EPA) guidance entitled, *Guidance to Environmental Protection Agency Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons* (EPA LEP Guidance).⁹

For questions about the LAP, contact the Department’s Diversity Officer at PSD.Diversity@vermont.gov.

B. Definitions

This section contains definitions to aid in understanding how the Department will provide effective communication and meaningful access to programs, services, and activities for people who speak languages other than English and have limited English proficiency or people who use various modes of communication. These definitions apply only to this LAP and the Procedure and are consistent with state and federal law and guidance.

Aids and Services: Also referred to as “auxiliary aids and services,” refers to the ways to communicate with people who use various modes of communication. aids and services can include readers, notetakers, qualified sign language interpreters, assistive listening systems and devices, CART, open and closed captioning, teletypewriter or text telephones (“TTYs”), captioned phones, videophones, information provided in large print, Braille, audible, or electronic formats, and other tools and assistive technologies for people who have communication needs.

Compliance: The satisfactory condition existing when a recipient of federal financial assistance has effectively implemented all the Title VI requirements or can demonstrate that every good faith effort toward achieving this end has been made.

Discrimination: Discrimination is the act (or inaction), whether intentional or unintentional, through which a person in the United States, solely because of race, color, national origin (including limited English proficiency), age, sex, or range of ability or disability has been subjected to unequal treatment under any program or activity receiving financial assistance.¹⁰

- Disparate Treatment: This type of illegal discrimination refers to treating similarly situated persons differently on the basis of their race, color, national origin (including limited English proficiency), sex, range of ability or disability, or age.
- Disparate Impact: This type of illegal discrimination refers to policies or practices that have a discriminatory effect.

Effective Communication: Communication that ensures that the person using various modes of communication or the person with hearing, vision, or speech loss can receive information and convey information in the language or manner they prefer to and allows an equal opportunity to participate in Department programs, services, and activities. Effective communication is a legal term used in federal regulations and guidance implementing the Rehabilitation Act and the Americans with Disabilities Act.

Federal Financial Assistance: Federal financial assistance includes, but is not limited to, grants and loans of federal funds; grants or donations of federal property; training; details of federal personnel; or any agreement, arrangement, or other contract which has as one of its purposes the provision of assistance.

Four-Factor Test: An assessment contained in EPA guidance to determine language access needs and reasonable steps to take to ensure meaningful access for persons with LEP.¹¹ The Four-Factor Test, as described in Section D.2a. below, involves the balancing of the number of persons with LEP, the frequency of interactions with persons with LEP, environmental health and safety considerations, and cost.

Interpretation: For the purposes of this LAP, the act of converting spoken words or words conveyed in sign language from one language to a different language while retaining the same meaning.

Language Access Services: Language access services include interpretation and translation of documents into non-English languages. This applies to both spoken and sign languages.

Language and Communication Access: Steps taken by the Department to provide effective communication and meaningful access to its programs, services, and activities to persons with LEP and people with varying levels of hearing, vision, or speech loss and using various modes of communication.

Language Service Provider: Someone who provides interpretation or translation services.

Meaningful Access: Language access services that provide accurate, timely, and effective communication to people who use a variety of languages or modes of communication that is free of charge. Meaningful access is a legal term used in federal regulations and guidance implementing Title VI of the Civil Rights Act.

Modes of Communication: Refers to the types of communication used by people who are protected by the Americans with Disabilities Act and the Rehabilitation Act, including verbal communication, nonverbal communication, visual communication, written communication, or listening.

Noncompliance: The condition that exists when a recipient has failed to meet prescribed requirements and has shown an apparent lack of good faith effort in implementing state and federal civil rights laws and regulations.

Persons with Limited English Proficiency (LEP): People who do not speak English as their primary language and who have a limited ability to read, speak, write, sign, or understand English.

Primary Language: The language an individual uses to communicate most effectively.

Programs, Services, and Activities: Refers to all Department operations.

Recipient: Any state or its political subdivision, any instrumentality of a state or its political subdivision, any public or private agency, institution, organization, or other entity, or any person to which Federal funds and assistance is extended directly or through another recipient, including any successor, assignee, or transferee of a recipient, but excluding the ultimate beneficiary of the assistance.¹² The Department is a recipient of Federal funds and assistance.

Safe Harbor: This is a phrase used in regulations as a legal standard to provide protection from a penalty or liability. In this context, “safe harbor” is used by the federal government to mean that if a recipient, such as the Department, provides written translation information under certain circumstances, described in EPA LEP Guidance and Department of Justice (“DOJ”) LEP Guidance,¹³ such action will be considered strong evidence of compliance with the recipient’s written-translation obligations.¹⁴

Subrecipient: An entity that receives federal financial assistance through a recipient, such as an entity that receives a grant or a contract.

Translation: For the purposes of the LAP, the process of converting written text from one language into an equivalent text in another language. Text may be in electronic format.

Vital Document: Paper or electronic material that contains information that is critical for enabling meaningful access to the Department’s programs, activities, and services, or contains information about procedures or processes required by law. Classification of a document as “vital” may depend upon the importance of the program, information, encounter, or service involved, and the consequence to the person with LEP if the information is not provided accurately or in a timely manner.

C. Department of Public Service Language Access Policy

It is the policy of Department of Public Service to provide people who speak languages other than English and who have limited English proficiency meaningful access to its programs, services, and activities. Ensuring meaningful access means providing accurate, timely, and effective language access services that are free of charge and consistent with federal law.

It is also the policy of the Department to provide effective communication to people who are Deaf, Hard of Hearing, blind, Deaf-Blind, use other modes of communication, or are protected by the Americans with Disabilities Act and the Rehabilitation Act. The Department will provide effective communication at no cost and in a timely manner to allow an equal opportunity to participate in Department programs, services, and activities, consistent with federal and state law. The Department will provide effective communication in such a way as to protect the privacy and independence of the individual. Provisions for effective communication could include appropriate auxiliary aids and services.

D. Five Steps to Plan Implementation

The Department will use five steps to implement the Language Access Policy. These steps aid in identifying and supporting persons with LEP and/or people using various modes of communication to ensure effective communication and meaningful access to the Department’s programs, services, and activities. The steps are: (1) identify persons for language access, (2) provide language and communication access, (3) train Department staff and subrecipients, (4) provide public notice of language and communication access, and (5) monitor, evaluate, and update the LAP. The Department LAP Implementation Procedure is expected to provide greater detail on how the Department will implement the LAP.

Step #1: Identify Persons for Language Access.

The Department will employ a number of methods described in the Procedure, to identify persons with LEP for language access. This includes a variety of federal, state, and local demographic data sources and coordination with other agencies. The Department will bring “[I Speak Language Identification Cards](#)” to public meetings to help identify people for language access.

To support future public engagement activities, the Department will maintain records of encounters with people with LEP and records of requests for communication access services by people that use various modes of communication. The Department will maintain records in such a way as to protect the privacy and independence of the individual, consistent with state and federal law and the Department’s Personally Identifiable Information (“PII”) policy.

Step #2: Provide Language and Communication Access.

Once the Department has completed Step #1, the next step is to determine whether and how to provide language and communication access. Section 2.A describes the process for providing language access to people with LEP and Section 2.B describes the process for providing language access to people using various modes of communication. These processes are described in detail in the Procedure.

2.A. Language Access for People with LEP

The Department will use a Four Factor Test as a starting point to determine language access needs and reasonable steps the Department will take to ensure meaningful access for persons with LEP that is free of charge and consistent with federal law.¹⁵ The Department will balance these four factors to ensure meaningful access without imposing undue burden on small businesses, local governments, and civic organizations.¹⁶ The Four Factor Test assesses:

- Factor 1: The number or proportion of persons with LEP estimated to be served or likely to be encountered by a Department program, activity, or service.
- Factor 2: The frequency with which persons with LEP come in contact with the Department program, activity, or service.
- Factor 3: The nature and importance of the program, activity, or service being provided. This factor will help determine whether the lack of access to language services or information could cause immediate or severe impacts to public health and safety, environmental hazards, or other detrimental consequences to persons with LEP.
- Factor 4: The resources available and associated costs. The Department will evaluate options that cost-effectively deliver adequate and accurate language access services before steps are taken to limit services due to financial constraints.

The balancing of these four factors will help the Department to identify and translate vital documents, in whole or in part, into the languages of the affected persons with LEP within a reasonable timeframe. The classification of a document as “vital” depends upon several considerations, such as the importance of the information; the program, activity, or service involved; and the consequences of not providing information accurately or in a timely manner.

The Department will conduct an initial review to identify vital documents for translation, in whole or in part. The Department will then rely on the LAP and the Procedure to provide written translations of vital documents on an as needed basis. The Department will use the State of Vermont language services contractors to provide translation, interpretation, telephonic interpretation.¹⁷

The Department will take reasonable steps to ensure language and communication access is conducted using qualified language service providers (i.e., interpreter or translator) and keep records of its activities.

The Department will also employ a “Safe Harbor” standard for written translation of vital documents. The Safe Harbor standard is to ensure that the Department is meeting a minimum level of compliance consistent with EPA LEP Guidance.¹⁸ This standard contains two requirements:

- Provide written translation of vital documents for each eligible LEP language group that constitutes three percent or 500 people, whichever is less, of the population of persons eligible for or to be affected by the Department’s programs, services, and activities.¹⁹ Translation of other, non-vital documents, if needed, can be provided orally.
- For a language group that reaches the three percent trigger above, provide written notice, translated into the primary language of the LEP language group, stating that persons with LEP have the right to receive competent oral interpretation of written vital materials at no cost.

2.B. Communication Access for People Protected by the Americans with Disabilities Act and the Rehabilitation Act

The Department will ensure timely and effective response to people who need language and communications access, information, or other related services. The Department will provide language and communication access services for people using various modes of communication that are specific to their ability and methods of communication at no cost and in a timely manner, consistent with state and federal law and guidance. The Department will use the State of Vermont language services contractors to provide language services.²⁰ The Department will take reasonable steps to ensure language and communication access is conducted using language service providers (i.e., interpreter or translator), and keep records of its activities. The Department will also ensure the use of facilities for public meetings is physically accessible for persons with disabilities.

Step #3: Train Department Staff and Subrecipients

The Department will provide mandatory training for staff and subrecipients who are involved in public outreach and engagement and are likely to encounter people with LEP or people who use various modes of communication. The purpose of the training is to ensure that the Department and subrecipients know of the Department’s responsibility to provide language and communication access services, how to use state resources, and how to maintain cultural competence and sensitivity when interacting with the public, among other relevant topics. The Department will also train subrecipients and conduct training when there are substantive changes to this Plan, as part of new employee orientation, and at a minimum of every four years.

Step #4: Provide Public Notice of Language and Communication Access.

The Department will provide notice and information about available language and communication access services to ensure that persons with LEP and communication needs have effective communication, equal opportunity, and meaningful access to the Department’s programs, activities, and services. The Department will publish the LAP and Procedure on its website. Google Translate (with disclaimer) is already readily available to translate on-line notices on the Department’s webpages into languages other than English.

Step #5: Monitor, Evaluate, and Update the Language Access Plan.

The Department will periodically monitor, evaluate, and update the LAP, policies, and the Procedure. The Department will keep records of use of language and communication access services and any complaints, feedback, or suggestions regarding the services provided; survey staff on how often they use language and communication access services in their work; observe and evaluate agency interactions with people with LEP and people who use various modes of communication; and keep current on community demographics and needs.

The Department will update the LAP periodically and at a minimum of every four years. In addition, the Department will review guidance from Vermont's Office of Racial Equity as it is released and make any appropriate updates to this plan.

E. Compliance

The Department will offer staff and subrecipients feedback on a periodic basis to ensure that the staff and subrecipients have the information and resources they need to implement and comply with the LAP. The Department will offer staff and subrecipients opportunities to provide feedback to identify sections in the Plan, timeframes, and training materials in need of further clarification or updating. The Department will help staff and subrecipients comply with the terms and provisions of the LAP and the Procedure. Failure to comply in good faith with the terms and provisions of this Plan could result in disciplinary consequences.

The Department will utilize the *Civil Rights and Nondiscrimination Grievance Procedure* policy of the Agency of Natural Resources, until such time that the Department develops its own such policy, for providing prompt and equitable resolution of complaints alleging any action that would violate the LAP or federal civil rights law.²¹

Appendix A: Abbreviations

ACS: American Community Survey (of the U.S. Census)

ADA: The Americans with Disabilities Act

ANR: Agency of Natural Resources

CFR: Code of Federal Regulations

Census: United States Census Bureau

DOI: United States Department of Interior

DOJ: United States Department of Justice

EPA: United States Environmental Protection Agency

LEP: Limited English Proficiency

PSD: Department of Public Service

USDA: United States Department of Agriculture

Appendix B: References

- Attorney General Holder Memorandum to All Federal Agencies Regarding the Federal Government's Renewed Commitment to Language Access Obligations Under Executive Order 13166 (February 17, 2011), https://www.lep.gov/sites/lep/files/resources/AG_021711_EO_13166_Memo_to_Agencies_with_Supplement.pdf.
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- DOJ, *ADA Update: Primer for State and Local Governments*, https://www.ada.gov/regs2010/titleII_2010/title_ii_primer.html.
- Digital.Gov, *Information on Best Practices for Web Content Managers*, <https://digital.gov/2012/10/01/automated-translation-good-solution-or-not/>.
- U.S. Census Bureau American Community Survey, <https://www.census.gov/programs-surveys/acs/about.html>. https://www.lep.gov/maps/lma2015/Final_508/.
- U.S. Census Bureau, American Community Survey Data 2020 ACS 5-Year Estimates, Table S1601, Population 5 Years and over, <https://data.census.gov/cedsci/table?g=0400000US50&tid=ACSSST5Y2020.S1601>.
- U.S. Census Bureau, “I Speak” Language Identification Card, <https://www.lep.gov/sites/lep/files/resources/ISpeakCards2004.pdf>.
- Vermont Department of Disabilities, Aging & Independent Living, *Hearing Terminologies*, <https://dail.vermont.gov/sites/dail/files/documents/HearingTerminology.pdf>.

Appendix C: Legal Background

1. Federal Recipients Must Comply with Civil Rights and Accessibility Laws

Under federal law, recipients of federal financial assistance, including PSD, are required to comply with federal civil rights and accessibility requirements. PSD receives federal funds from EPA and the Department of Energy (DOE), and may receive in the future funds from other agencies.

Title VI of the Civil Rights Act of 1964 (Title VI) and its implementing regulations prohibit discrimination on the basis of race, color, or national origin in any programs or activities receiving federal financial assistance.²² EPA's implementing regulations and courts have interpreted Title VI's prohibition of discrimination on the basis of national origin to include discrimination based on limited English proficiency.²³

EPA's Title VI implementing regulations define a recipient as, "any state or its political subdivision, any instrumentality of a state or its political subdivision, any public or private agency, institution, organization, or other entity, or any person to which Federal financial assistance is extended directly or through another recipient, including any successor, assignee, or transferee of a recipient, but excluding the ultimate beneficiary of the assistance."²⁴

Federal assistance includes but is not limited to grants and loans of federal funds, grants or donations of federal property, or any agreement, arrangement, or other contract which has as one of its purposes the provision of assistance.²⁵ Federal law indicates that Title VI covers the recipients' entire program or activity, even if only one part of the recipient's organization receives federal financial assistance.

2. Requirements and Guidance for Improving Access for People with LEP

President Clinton signed federal Executive Order 13166 (EO 13166) on August 11, 2000, entitled *Improving Access to Services for Persons with Limited English Proficiency*, to ensure that the federal government provides programs and services to "otherwise eligible persons who are not proficient in the English language."²⁶ EO 13166 requires all federal agencies to evaluate the programs and services they provide and identify needs of members of the public with limited English proficiency (persons with LEP) to participate in those programs and services. The Executive Order directs those federal agencies to ensure that recipients of federal assistance provide persons with LEP meaningful access to programs and services.

The United States Department of Justice (DOJ) is responsible for government-wide coordination of the Civil Rights Act of 1964 and EO 13166.²⁷ The DOJ first issued general guidance on EO 13166 in 2000²⁸ and its current guidance on June 18, 2002.²⁹ The guidance is designed to assist federal agencies and recipients in complying with statutory and regulatory obligations to provide persons with LEP meaningful access to federal agencies' programs, services, and activities.

The DOJ guidance defines persons with LEP as, "Individuals who do not speak English as their primary language and who have a limited ability to read, write, speak or understand English can be limited English proficient, or 'LEP,' entitled to language assistance with respect to a particular type of service, benefit, or encounter."³⁰ The DOJ guidance describes a four-factor test for recipients to use "as the starting point" in providing for meaningful access of programs by persons with LEP:

- 1) The number or proportion of persons with LEP from a language group eligible to be served or likely to be encountered by the program or grantee in the eligible service population.
- 2) The frequency with which persons with LEP from specific language groups who come into contact with the program seeking assistance.
- 3) The nature and importance of the program, activity, information, or service provided by the program to people's lives; and
- 4) The resources available to the grantee/recipient and costs.

EPA released guidance on June 25, 2004 entitled, *Guidance to Environmental Protection Agency Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons* (EPA LEP Guidance).³¹ The EPA LEP Guidance incorporates the DOJ four-factor test and provides a framework for recipients to use to persons with LEP meaningful access to programs, services and activities. EPA also released on February 10, 2017 an updated EPA Order 1000.32 entitled, *Compliance with Executive Order 13166: Improving Access to Services for Persons with Limited English Proficiency* (EPA Order).³² The EPA Order sets forth expectations, requirements, and further guidance to ensure compliance with EO 13166.³³ Other federal agencies also provide guidance for their recipients of federal assistance, including state agencies, that is consistent with DOJ guidance.³⁴ For guidance and other information on requirements persons with LEP, visit the federal government Limited English Proficiency website: <https://www.lep.gov/>.

3. Requirements and Guidance for Effective Communication

Section 504 of the Rehabilitation Act ("Section 504") requires that "[n]o otherwise qualified individual with a disability . . . shall, solely by reason of his or her disability, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance."³⁵ The Americans with Disabilities Act (ADA) extends Section 504's broad obligation to prevent discrimination on the basis of disability to all public entities regardless of federal financial assistance.³⁶

Title II of the ADA applies to all State and local governments and all departments, agencies, special purpose districts, and other instrumentalities of State or local government ("public entities"). It applies to all programs, services, or activities of public entities. Title II entities that contract with other entities to provide public services also have an obligation to ensure that their contractors do not discriminate against people with disabilities.

DOJ guidance states that covered entities must provide "aids and services" when needed to communicate effectively with "people who have vision, hearing, or speech disabilities," referred to in the LAP as people who use various modes of communication.³⁷ Deciding what aid or service is needed to communicate effectively is based on the nature, length, complexity, and context of the communication as well as the person's normal method(s) of communication. For guidance and other information on requirements for people with communication needs, visit the federal government Americans with Disabilities Act website: <https://ada.gov/>.